# Analysis of Snow BRF from ARCTAS Spring-2008 Campaign

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**Abstract.** The spring 2008 Arctic Research of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS) experiment was one of major intensive field campaigns of the International Polar Year aimed at detailed characterization of atmospheric physical and chemical processes in the Arctic region. A part of this campaign was a unique snow bidirectional reflectance experiment on the NASA P-3B aircraft conducted on April 7 and 15 by the Cloud Absorption Radiometer (CAR) jointly with airborne Ames Airborne Tracking Sunphotometer (AATS) and ground-based Aerosol Robotic Network (AERONET) sunphotometers. The CAR data were atmospherically corrected to derive snow bidirectional reflectance at high 1° angular resolution in view zenith and azimuthal angles along with surface albedo. The derived albedo was generally in good agreement with ground albedo measurements collected on April 15. The CAR snow bidirectional reflectance factor (BRF) was used to study the accuracy of analytical Li-Sparse Ross-Thick (LSRT), Modified Rahman-Pinty-Verstraete (MRPV) and Asymptotic Analytical Radiative Transfer (AART) BRF models. Except for the glint region (azimuthal angles  $\varphi < 40^{\circ}$ ), the best fit MRPV and LSRT models fit snow BRF to within ±0.05. The plane-parallel radiative transfer (PPRT) solution was also analyzed with the models of spheres, spheroids, randomly oriented fractal crystals, and with a synthetic phase function. The latter merged the model of spheroids for the forward scattering angles with the fractal model in the backscattering direction. The PPRT solution with synthetic phase function provided the best fit to measured BRF in the full range of angles. Regardless of the snow grain shape, the PPRT model significantly over-/underestimated snow BRF in the glint/backscattering regions, respectively, which agrees with other studies. To improve agreement with experiment, we introduced a model of macroscopic snow surface roughness by averaging the PPRT solution over the slope distribution function and by adding a simple model of shadows. With macroscopic roughness described by two parameters, the AART model achieved an accuracy of about  $\pm 0.05$  with a possible bias of  $\pm 0.03$  in the spectral range 0.4-2.2 µm. This high accuracy holds at view zenith angles below 55-60° covering the practically important range for remote sensing applications, and includes both glint and backscattering directions.

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## 1 Introduction

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46 Due to its high reflectance, snow is one of the key factors defining the surface radiative budget in 47 polar regions and affecting global climate of the Earth. The albedo of thick snowpack is gov-48 erned primarily by the snow grain size and level of impurities, such as soot or dust, deposited 49 from the atmosphere. Monitoring snow properties from space requires an accurate knowledge of 50 its bidirectional reflectance factor (BRF) (Stroeve and Nolin, 2002; Stroeve et al., 2005; Stamnes 51 et al., 2007; Scambos et al., 2007; Painter et al., 2009; Lyapustin et al., 2009). Accurate model-52 ing of snow reflectance is equally important for atmospheric remote sensing of cloud properties 53 and vital for aerosol retrievals over snow-covered regions, which presently remains a largely un-54 resolved problem. 55 Beginning with the classic work of Wiscombe and Warren (1980), snow reflectance has been 56 studied extensively using a plane-parallel radiative transfer model. The accumulated body of 57 measurements and modeling efforts converges in understanding that the bidirectional reflectance 58 of snow is less anisotropic than predicted by the RT model (e.g., Warren et al., 1998; Painter and 59 Dozier, 2004; Hudson et al., 2006; Hudson and Warren, 2007). The main errors appear around 60 the principal plane, where the model significantly overestimates reflectance for forward scatter-61 ing angles and underestimates it in backscattering directions. Because of the apparent non-62 sphericity of snow grains, a number of studies investigated the effect of snow particle shape on 63 the modeled reflectance (Mishchenko et al., 1999; Xie et al., 2006; Jin et al., 2008). Yang and Liou (1998), Kokhanovsky and Zege (2004), and Jin et al. (2008) found that using the non-64 65 spherical model of snow grains improves agreement with measurements. The latter work, which 66 included variable particle shapes and particle surface roughness, compared modeled results with 67 tower measurements from 32 m made over Antarctica (Hudson et al., 2006). Although micro-68 scopic roughness (with scale much less than the grain size) smoothed the difference, the best 69 theoretical predictions still significantly overestimate tower snow reflectance in the forward scat-70 tering angles and underestimate it in the backscattering region. Overall, the authors achieved 71 agreement between modeled radiance and tower measurements within  $\pm 10\%$  for view zenith angles ≤60°, with the above-mentioned asymmetry between the forward and backscattering direc-72 73 tions. These studies help clarify the point that the microphysical variability alone cannot fully 74 capture all features of snow reflectance, and macroscopic (~10 cm) effects of non-flat snow sur-

- 75 faces and shadows need to be incorporated into the model to achieve closure with measured 76 snow BRF, even at the tower-scale footprint, not to mention the satellite footprint. Hudson and 77 Warren (2007) have demonstrated the effect of snow surface roughness by contrasting snow re-78 flectance under clear skies with that from clouds over a snow at different view zenith angles. No-79 lin et al. (2002) used multi-angle MISR data to characterize roughness of sea ice and ice sheets 80 over Greenland and Antarctica. A study by Leroux and Fily (1998) showed that modeling the 81 effect of sastrugi improved agreement with snow BRF measurements over Antarctica. In this work, we study the effect of macroscopic surface roughness, including the slope distribu-82 83 tion of reflecting facets and shadows cast by sastrugi, using a simple model. We also study the 84 accuracy of the common analytical BRF models used in operational satellite data processing, in-85 cluding the reciprocal Li Sparse - Ross Thick (LSRT, Lucht et al., 2000), Modified Rahman-86 Pinty-Verstraete (MRPV, Martonchik et al., 1998), and Asymptotic Analytical Radiative Trans-87 fer (AART, Kokhanovsky and Zege, 2004) models. In parallel, we evaluate the atmospheric cor-
- 90 Our analysis uses airborne measurements obtained by the Cloud Absorption Radiometer (CAR, 91 King et al., 1986; Gatebe et al., 2003) during the ARCTAS Spring campaign (Jacob et al., 2009). 92 The atmospheric correction algorithm uses ancillary aerosol measurements from the Aerosol Ro-93 botic Network (AERONET, Holben et al., 1998) and data from the Ames Airborne Tracking 94 Sunphotometer (AATS, Russell et al., 2005, 2007) to derive snow BRF. CAR provides compre-95 hensive spectral coverage from the UV through shortwave infrared spectral region, and has un-96 precedented angular resolution for an airborne sensor of 1° in zenith and azimuthal angles. Sev-97 eral representative experiments were conducted at Barrow, Alaska over Elson Lagoon. Different 98 flight altitudes allow us to test the atmospheric correction algorithm and get insight into snow 99 spatial homogeneity at scales of  $\sim 0.2$  - 2 km. The atmospheric correction algorithm is independ-100 ently evaluated against surface measurements of snow albedo.

rection error over snow due to the Lambertian assumption, which is the basis of MODIS opera-

tional land processing (Vermote and Kotchenova, 2008).

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This paper is organized as follows. Section 2 provides a brief description of the NASA P-3B aircraft flights, focusing on CAR measurements, and Section 3 describes ground albedo experiment. The atmospheric correction algorithm is described in Section 4, along with its evaluation. Based on derived snow BRF, Section 5 examines analytical BRF models. Finally, macroscopic surface roughness is explored in Section 6, followed by Conclusions. The analytical BRF models and the model of surface roughness are described in the Appendices.

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### 2 Description of experiments

109 The ARCTAS Spring campaign was conducted in April 1-21, 2008, with the aim of studying 110 physical and chemical processes in the Arctic atmosphere and related surface phenomena, as part 111 of the International Polar Year. NASA deployed two aircraft from Fairbanks, Alaska, the DC-8, 112 instrumented primarily for atmospheric chemical sampling and the P-3B, which carried a pay-113 load, including the CAR and AATS instruments, designed to study aerosols and the radiation en-114 vironment (Jacob et al., 2009). A third NASA aircraft, the B200, was stationed at Barrow, 115 Alaska, and carried the NASA Langley High-Spectral-Resolution Lidar (HSRL; Hair et al., 116 2008). In addition, a number of AERONET and AEROCan sun and sky-scanning photometers 117 (Holben et al. 1998; Bokoye et al., 2001) operated in the study region during this period, includ-118 ing one at Barrow and one at Eureka, Canada. 119 The ARCTAS directional snow reflectance experiment addressed multiple objectives, as the al-120 bedo and snow reflectance angular variation are critical for surface characterization, energy bal-121 ance calculations, and the remote sensing of atmospheric properties, including aerosol amount 122 and type. For this experiment, we collected coincident observations of the snow-covered surface 123 from platforms at multiple levels. The suite of measurements included ground-based Analytic 124 Spectral Device (ASD) FieldSpec radiometer observations and direct snow samples, airborne 125 instrument data, including CAR and AATS remote sensing from the P-3B, and near-coincident 126 observations from the NASA Earth Observing System's Terra satellite Multi-angle Imaging 127 SpectroRadiometer (MISR) and MODerate-resolution Imaging Spectroradiometer (MODIS). 128 The primary target site for this experiment was Elson Lagoon (71.3°N, 156.4°W), near Barrow, 129 Alaska, which was studied by the P-3B payload on 7 and 15 April. The HSRL joined the P-3B 130 on 7 April, and coincident surface measurement took place the week of 15 April. Other sets of 131 measurements over snow surface was made with the P-3B instruments on 8 April near Eureka, 132 Canada (80.5°N, 90.2°W) and on 9 April near Axel Heiberg Island, Canada (79.9°N, 100.9°W). 133 The present study focuses on the Elson Lagoon events.

#### 3 Albedo measurements at the surface

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135 A site was selected 10 km east (upwind) of Barrow, Alaska, on Elson Lagoon. This lagoon is a 136 protected arm of the Beaufort Sea. The surface consisted of flat land-fast first-year sea ice of thickness ~1.5 m, covered with 25-40 cm of snow, of density 0.35 g cm<sup>-3</sup>. The snow surface 137 roughness was in the form of small sastrugi, with typical height ~5 cm and spacing ~1 m (Figure 138 139 1). The lagoon is a homogeneous area large enough to ensure a uniform representative footprint 140 in the field of view of the CAR from the P3 airplane. 141 Spectral albedo was measured using a FieldSpec Pro JR spectroradiometer manufactured by Analytical Spectral Devices, Inc. (ASD). The instrument records radiance every 1 nm from 350 142 143 to 2500 nm wavelength, with 3- to 30-nm spectral resolution (full width at half maximum). The 144 instrument is described by Kindel et al. (2001). At Barrow two of these ASD instruments were 145 deployed, one from the University of Washington (UW) and the other from the Norwegian Polar 146 Institute (NPI). A "cosine collector" (receiving radiation from a hemisphere,  $2\pi$  sr) is supported 147 by a 1.6-m rod, mounted on a tripod. The cosine collector is designed to accept incident radiation 148 with equal efficiency from any angle in the hemisphere; if it does so then the signal produced as 149 the collector plane is rotated relative to a point source is proportional to the cosine of the inci-150 dence angle, because the projected area of the collector plane is proportional to the cosine. Spec-151 tral albedo is usually measured more accurately under overcast sky because the radiation from 152 both upward and downward hemispheres is diffuse, so errors in leveling the instrument, devia-153 tions from cosine response, and non-horizontality of the surface all cause much smaller errors 154 than under direct-beam incidence. 155 The rod has bubble levels at both ends, so the cosine collector can be rotated to view the upward 156 and downward hemispheres alternately. The light received by the cosine collector is directed by 157 a fiber-optics guide to the ASD detector. Although the two radiometers were identical, their co-158 sine collectors were not. The cosine collectors were calibrated as in Grenfell et al. (1994). The 159 UW instrument had better cosine-response than the NPI instrument at infrared wavelengths, and 160 the reverse was true at visible wavelengths; this affects our selection of data below. 161 Under overcast skies with diffuse incidence, the cosine collector, support rod, tripod, radiometer 162 box, computer, and sled all intercept some of the downward solar radiation that would reach the

snow surface below the cosine collector if the instrument were not there. A "shadowing correc-

- 164 tion" was applied to the albedo measurements. For diffuse incidence the shadowing correction
- was estimated by geometric analysis as 1.7% for the UW instrument and 0.6% for the NPI in-
- strument. For direct incidence with low sun the shadowing correction is smaller.
- Spectral albedo was measured on six days in mid-April 2008 under both clear and overcast skies;
- on one of those days, 15 April, simultaneous measurements were made with the CAR from the
- NASA P-3B aircraft flying over Elson Lagoon. The surface air temperature during the surface
- albedo experiments was in the range -14 to -21°C throughout 14-17 April. On the 19th it was
- 171 warmer, -1.3°C.

- 3.1 Measurements on 15 April
- 174 Fresh snow had fallen on 14 April with minimal redistribution by the wind. Albedo was meas-
- ured at five sites near the center of our designated clean area along a westerly track at 50-m in-
- tervals. Variation in measured albedo at a given site was significant, due to slight leveling errors
- in positioning the cosine collector.
- 178 A leveling-error correction was applied as a spectrally-constant scale factor, chosen so as to ad-
- just the 490-nm albedo to equal that measured on 19 April with diffuse illumination (overcast
- cloud). The measurements on 19 April were not affected by leveling errors because the incident
- radiation was diffuse, and the high albedo at 490 nm is insensitive to grain size variation (Wis-
- combe and Warren, 1980, Figure 8a). Furthermore, the solar zenith angle during the 15 April
- measurements was ~60°, which is close to the effective incidence angle of diffuse irradiance, so
- the effect of zenith-angle difference on albedo of the two days should be negligible, particularly
- at 490 nm where albedo is insensitive to zenith angle (Wiscombe and Warren, 1980, Figure 11).
- A correction was applied for the radiometer and support shadows, which blocked a small portion
- of the downward looking field-of-view. Due to differences in cosine collector design, the NPI
- ASD was more accurate for wavelength below ~1 μm, whereas the UW ASD provided a better
- signal/noise ratio beyond ~1 µm. For this reason the UW albedos were scaled slightly to match
- the NPI albedo in the 800-850 nm band where both instruments performed well. The final result
- 191 (Figure 2) is a combination of the NPI albedo at UV and visible wavelengths and the UW albedo
- in the near-infrared.

After corrections and scaling, variation among the five sites was significantly greater than the variation of repeated measurements at a particular site. The measured albedos at each site were averaged; these five means were then averaged for the final reported albedo. The error envelope in Figure 2 includes variation between sites, estimated error in the shadowing correction and error in assigning the 490-nm albedo.

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## 4 Processing CAR data

- 200 4.1 Atmospheric correction algorithm
- To process CAR data over snow, a specialized atmospheric correction algorithm has been devel-
- oped. It is based on an accurate semianalytical expression for the atmospheric radiance derived
- with the Green's function method (Lyapustin and Knyazikhin, 2001; Lyapustin and Wang,
- 204 2005). When used with the LSRT model (see Appendix A), this solution makes it possible to ex-
- 205 press upward reflectance at an arbitrary altitude z in the atmosphere as an explicit function of
- 206 LSRT model parameters  $\vec{K} = \{k^L, k^G, k^V\}$ :

$$R^{nl}(z;\mu_0,\mu), (1)$$

- where  $R^{D}$  is the atmospheric (path) reflectance,  $F^{k}$  (k = L, G, V) are functions of view geometry
- and atmospheric properties,  $\mu_0$  and  $\mu$  are cosines of the solar and view zenith angles, respec-
- 211 tively, and  $\varphi$  is the relative azimuth angle. Functions  $F^k$  have a weak dependence on surface re-
- flectance through a multiple reflection factor,  $\alpha = (1 q(\mu_0)s)^{-1}$ , where q is surface albedo and s
- 213 is spherical albedo of the atmosphere.  $R^{nl}$  is a small term nonlinear in surface reflectance.
- The quasi-linear form of equation (1) leads to a very efficient iterative minimization algorithm
- for the root-mean-square error for three parameters of LSRT model  $\vec{K}$ :

$$RMSE = \sum_{j} (r_{j}^{(n)} - F_{j}^{L} k^{L(n)} - F_{j}^{V} k^{V(n)} - F_{j}^{G} k^{G(n)})^{2} = \min_{\{\vec{K}\}},$$

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$$r^{(n)} = R - R^{D} - R^{nl(n-1)}. (2)$$

In this expression, R is a measured CAR reflectance, the index j denotes different CAR view angles, and n is the iteration number. Although it is not shown explicitly, functions  $F^k$  are also updated every iteration, according to current value of parameter  $\alpha$ . Equation (2) provides an explicit least-squares solution for coefficients  $\vec{K}$ :

$$\vec{K}^{(n)} = A^{-1}\vec{b}^{(n)}, \tag{3}$$

224 where

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$$A = \begin{bmatrix} \sum (F_{j}^{L})^{2} & \sum_{j} F_{j}^{G} F_{j}^{L} & \sum_{j} F_{j}^{V} F_{j}^{L} \\ \sum_{j} F_{j}^{G} F_{j}^{L} & \sum_{j} (F_{j}^{G})^{2} & \sum_{j} F_{j}^{V} F_{j}^{G} \\ \sum_{j} F_{j}^{V} F_{j}^{L} & \sum_{j} F_{j}^{V} F_{j}^{G} & \sum_{j} (F_{j}^{V})^{2} \end{bmatrix}, \ \vec{b}^{(n)} = \begin{bmatrix} \sum_{j} r_{j}^{(n)} F_{j}^{L} \\ \sum_{j} r_{j}^{(n)} F_{j}^{G} \\ \sum_{j} r_{j}^{(n)} F_{j}^{V} \end{bmatrix}.$$

- In the first iteration, the non-linear term is computed for an assumed spectrally dependent albedo,
- for example  $q^{(0)}(vis) = 0.6$ , or  $q^{(0)}(2.2 \mu m) = 0.05$ . Convergence is achieved in 4-5 iterations
- over bright snow in the CAR blue band (0.48 µm), and it takes fewer iterations at longer wave-
- lengths where snow is less reflective.
- 230 Once LSRT coefficients are computed, the diffuse component of the surface-reflected signal
- $(R^{Dif})$  is calculated at the altitude z of P-3B flight, and the snow bidirectional reflectance factor
- $\rho$ , representing specific measurements, is computed from the direct reflected term. For this pur-
- pose, we single-out the direct term and re-write equation (1) as follows:

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$$R(z; \mu_0, \mu, \varphi) = R^D(z; \mu_0, \mu, \varphi) + R^{Dif}(z; \vec{K}; \mu_0, \mu, \varphi) + \rho(\mu_0, \mu, \varphi) e^{-\tau_0/\mu_0} e^{-(\tau_0 - \tau(z))/|\mu|}.$$
(4)

- Here,  $\tau_0$  is the column optical depth through the atmosphere at the wavelength of interest. Fi-
- 236 nally, once the snow BRF is computed, the best-fit parameters of the MRPV and AART models
- are retrieved and the *rmse* is evaluated. The MRPV parameters are computed using logarithm
- transformation, following the MISR surface reflectance algorithm (Martonchik et al., 1998). The
- required RT calculations are performed with the SHARM code (Lyapustin and Wang, 2005). As-
- suming all the ozone is located above the aircraft, the CAR data are preliminarily corrected for
- ozone absorption along the solar path.

## 242 4.2 Ancillary parameters

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Table 1 lists the CAR spectral bands, the in-band solar irradiance used to convert digital numbers into reflectance units, and the assumed optical thickness of column gaseous absorption. The latter includes 0.4 cm column water vapor that is close to the AATS above airplane value at the lowest flight altitude (Schmid et al., 2003; Livingston et al., 2008) and to the AERONET column measurement at the Barrow site during these experiments. The monochromatic gaseous absorption,  $\tau^g(\lambda)$ , is computed using the equation:

$$\exp\{-\tau^{g}\} = \int_{\Delta\lambda} F_{\lambda} \exp\{-\tau^{g}(\lambda)\} h_{\lambda} d\lambda / \int_{\Delta\lambda} F_{\lambda} h_{\lambda} d\lambda , \qquad (5)$$

where  $h_{\lambda}$  is the CAR relative spectral response function, and  $F_{\lambda}$  is the exoatmospheric spectral solar irradiance. The optical thickness of absorbing gases is calculated for a carbon dioxide concentration of 340 ppm, and other gas concentrations corresponding to the sub-Arctic Winter atmospheric model (Kneizys et al., 1996). The calculations included absorption of six major atmospheric gases (H<sub>2</sub>O, CO<sub>2</sub>, CH<sub>4</sub>, NO<sub>2</sub>, CO, N<sub>2</sub>O) with line parameters from the HITRAN-2000 (Rothman et al., 2003) database, using the Voigt spectral line shape and the Atmospheric Environmental Research continuum absorption model (Clough et al., 2005). Because of the water vapor, oxygen and other gas absorption band structure, the effective in-band transmittance of the atmospheric column changes with air-mass approximately as  $\sqrt{m}$  rather than  $\sim m$ . For this reason, Table 1 shows two numbers for effective column optical thickness, one for vertical path, and another for a solar zenith angle  $(\theta_0)$  of 67.2°. The second value, which was used in the processing algorithm, gives a correct transmittance for the incident radiation for a given  $\theta_0$ , although it may introduce some view-angle dependent error on the light path from the surface to the aircraft. This error, however, is small because the flights were at low altitude (0.2 - 1.7 km) and the gaseous absorption is low. Table 1 also lists the in-band optical thickness of ozone absorption for 380 DU that was observed over Barrow during 7-15 April by the Ozone Monitoring Instrument (OMI). To verify CAR calibration in reflectance units, we provide irradiance  $F_{\lambda}$  obtained by integrating data from Solar Irradiance Monitor on SORCE (Harder et al., 2000) collected on 8 April.

The aerosol optical thickness above the P-3B aircraft was measured by the AATS onboard the

- 271 tometer at Barrow. The column water vapor provided by AERONET was low, ~0.4 cm. Table 2
- summarizes the P-3B flights with CAR measurements over snow, including dates, average
- 273 height, solar zenith angle, and AERONET and AATS aerosol optical thickness.
- 4.3 Algorithm and CAR data analysis
- 275 Snow is the most reflective of the common land surface types, having an albedo close to unity in
- the visible spectrum, and data analysis over pure snow raises accuracy standards for the process-
- ing model as well as for sensor calibration accuracy. It may be mentioned in advance that CAR
- 278 has provided excellent spectral BRF data for snow anisotropy analysis. However, we encoun-
- tered a problem regarding the overall reflectance magnitude at the red band (0.68 µm) in the ex-
- periment conducted on April 7, which is described and analyzed in this section.
- 281 The atmospheric correction algorithm developed here automatically computes surface albedo
- once the LSRT model parameters are found. The LSRT model approximates snow BRF rather
- well, with standard deviation in the blue band below 3% relative to nadir. Forward computations
- with derived snow BRF reproduce the CAR measurements with an accuracy of better than
- $\pm 0.5\%$ . In addition, both spectral BRF and albedo derived from CAR flights at different altitudes
- on 7 April agree with each other to better than ~0.3%, and also agree with results obtained over
- the same location on 15 April at lower solar zenith angle. These analyses support the conclusion
- that the algorithm works correctly. However, the albedo derived for the CAR red band on April 7
- was found to be slightly but systematically higher than unity, which violates energy conserva-
- 290 tion.
- 291 The derived spectral albedo was compared with surface albedo measurements collected on 15
- 292 April. Figure 2 shows the envelope of measured albedo from minimal to maximal values over the
- 293 five sites; they are within about  $\sim$ 2% in the visible bands. The triangles and circles show albedo
- derived at the CAR central wavelengths on 7 and 15 April, respectively. The overall agreement
- between CAR and ground albedo is good, especially given possible snow inhomogeneity, and
- the difference between the CAR footprint and the coverage of ground measurements. Except in
- the red (0.68 µm) and NIR (1.27 µm) bands, the retrievals are within or very close to the enve-
- lope of measured albedo. In the red band, however, derived albedo is about 4-5% higher than the
- 299 maximal measured value. It exceeds unity on 7 April (q = 1.015) and is very close to unity
- 300 (0.999) on 15 April.

We analyzed the main factors affecting the CAR red band results.

- 1) To exclude the possibility of error in the calibration conversion coefficients from radiance digital number (DN) counts to reflectance, the irradiance  $F_{\lambda}$  used in CAR calibration was compared with data from the Solar Irradiance Monitor (Harder et al., 2000) integrated over the CAR spectral response (see Table 1). Although the use of SIM irradiance reduces reflectance in the red band, the effect is only about 0.1%.
- 2) Because of the relatively large solar zenith angle, it is important to accurately model absorption by well-mixed gases. The CAR red channel overlaps with the weak oxygen B-band, the main absorber in this channel apart from ozone. Our current modeling of absorption relies on the HITRAN-2000 edition. Although the new HITRAN-2008 database has been released, there has been only a minor change in oxygen line parameters in the region of interest (Rothman et al., 2009). It cannot explain our result because the absorption optical thickness would need to decrease by approximately 0.015 (or by ~80%) to reduce the albedo by 4-5%.
- 3) Two algorithm-related factors were investigated as part of this analysis. First, although CAR provides the full hemisphere of upward directions, the maximal view zenith angle ( $\theta$ ) in our processing is limited to about 75° to conform to the limits of the plane-parallel radiative transfer model. To assess whether the upper  $\theta$  limit impacts derived LSRT coefficients and albedo,  $\theta_{max}$  was varied from 60° to 85°. The solution was very stable, with maximal change below 0.2% in the blue band and negligible in the other bands. Second, the accuracy of the best-fit LSRT model is not uniform on the hemisphere of upward directions, and potentially, high outliers may bias the derived albedo. To investigate this factor, we added a separate albedo retrieval algorithm that does not depend on the model of surface BRF. It consists of three steps: in the first step, CAR measured radiance is directly integrated using Simpson's quadrature to obtain upward flux at the flight altitude z,

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$$F^{up}(z;\mu_0) = \mu_0 \int_0^{2\pi} d\varphi \int_{-1}^0 \mu R(z;\mu_0,\mu,\varphi) d\mu.$$
 (6)

Next, this flux is extrapolated to the surface level,  $F^{up}(0; \mu_0) = F^{up}(z; \mu_0) \frac{F_{Th}^{up}(0; \mu_0)}{F_{Th}^{up}(z; \mu_0)}$ , where  $F^{up}_{Th}(z; \mu_0)$  is a "theoretical" flux computed with the best-fit LSRT parameters and given atmospheric

profile. Although individual "theoretical" fluxes at levels z and z = 0 depend on parameters of the

BRF model, this dependence disappears in the ratio. Finally, surface albedo is computed by dividing obtained reflected flux  $F^{up}(0; \mu_0)$  by the "theoretical" incident flux  $F^{dn}_{Th}(0; \mu_0)$ . We found that this approach generally decreases the albedo by 0.8% in the blue band, and by 0.5-0.2% at longer wavelengths, the difference diminishing with wavelength. This albedo reduction is taken into account in the data shown in Figure 2.

This analysis shows that the observed differences in the red band cannot presently be explained by known algorithm-related factors, and one plausible explanation is a calibration uncertainty of CAR. The radiometer was calibrated using a calibration sphere, which may have an absolute uncertainty within 5%. Given this source of uncertainty, the bias in the red band has yet to be explained, particularly in view of the absence of such a bias in the nearby blue band. Our analysis will continue with further investigation of issues related to the processing algorithm and absolute CAR calibration.

# 5 Bidirectional reflectance of snow

- Figure 3 shows the derived snow BRF in the CAR red band. The first three images show results for 7 April from three different observing altitudes, 1.7, 0.64, and 0.2 km, and the last image shows retrievals for 15 April for measurements from 184 m altitude. The BRF has a very consistent pattern, with a shape dominated by two features—the increase of reflectance in the glint (forward scattering) direction, and a smaller reflectance increase in the backscattering direction. The dark feature in the hotspot direction in the last two images (c, d) corresponds to the airplane shadow.
- The radiometer scans different surface points while obtaining the total BRF. The CAR footprint decreases, and spatial resolution increases, at lower flight altitudes; the effect of surface inhomogeneity becomes clearly visible at altitudes of  $\sim$ 0.2 km and below, on both 7 and 15 April. Analysis of BRF at angles close to nadir, for example at  $\theta$  < 3°, makes it possible to evaluate snow reflectance variability (inhomogeneity) as  $\sim$ 0.05 for flight altitudes above 0.6 km and  $\sim$ 0.15-0.2 for 0.2 km altitude.
- Snow BRFs remain close in the blue-NIR range, 0.47-0.87 µm. Although the imaginary part of the ice refractive index increases with wavelength, it remains very low in this spectral range, as

- 358 does snow absorption. In these conditions, BRF is dominated by multiple scattering, and BRF 359 anisotropy is low. At longer wavelengths, absorption becomes progressively more prominent, 360 and as the magnitude of snow reflectance drops, reflectance anisotropy increases. This result is 361 shown in Figure 4, which shows the spectral dependence of snow BRF in the principal plane and 362 in the cross-plane for flight segment 2001b (0.64 km). The blue band saturates around the maxi-363 mum glint region ( $\theta > 67-71^{\circ}$ ) which explains decrease of the measured CAR signal and the retrieved BRF. Taking the ratio  $Ratio = \rho(\theta = 60^{\circ})/\rho(\theta = 0^{\circ})$  at  $\phi = 0^{\circ}$  in the principal plane as a 364
- 365 measure of anisotropy, one can see the increase of anisotropy with wavelength quantitatively:
- 366  $Ratio = \{1.44; 1.48; 1.69; 1.93; 1.99; 3.66; 3.7\}$  at  $\lambda = \{0.68; 0.87; 1.03; 1.22; 1.27; 1.65, 2.2\}$ .
- 367 Here, we omitted the blue band because of the saturation problem.
- 368 The cross-plane ( $\varphi = 90^{\circ}$ ) reflectance increases systematically with the view zenith angle. Al-369 though anisotropy of reflectance is notably lower than in the principal plane, nonetheless snow 370 reflectance is significantly non-Lambertian.

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# 6 Analysis of MRPV and LSRT models

- 373 The LSRT and MRPV models (see Appendix A) are used in the MODIS (Schaaf et al., 2002)
- 374 and MISR (Martonchik et al., 1998) land algorithms, respectively, including snow-covered sur-
- 375 faces. The accuracies of these models were studied experimentally over different land cover
- 376 types (Privette et al., 1997; Bicheron, P. and M. Leroy, 2000), however we are not aware of such
- 377 analysis over snow. The CAR dataset presents an excellent opportunity to perform an accuracy
- 378 analysis over pure snow.
- 379 In order to remedy a possible bias in the CAR red band discussed in Sect. 4.3 and shown in Fig-
- 380 ure 2, the derived BRF was renormalized by matching retrieved red band albedo with the mean
- 381 value from ground-based measurements. This renormalization does not affect the reflectance an-
- 382 gular distribution. The retrieved and normalized snow BRF is used below to evaluate goodness
- 383 of fit by the LSRT and MRPV models.
- 384 The results of model analysis are shown in Figure 5 for the red (0.67 µm) and NIR (1.22 µm)
- 385 bands that are often used in snow property retrievals. The left image shows the retrieved BRF
- 386 followed by images showing accuracy of the LSRT and MRPV models. The accuracy, computed

as  $(\rho-\rho_{Model})$ , is shown for the full range of values and for a reduced range of values ( $\pm 0.05$ ). One can see that though both models show a relatively similar performance, differences are clearly visible near the principal plane. The best-fit MRPV model provides a better approximation in the backscattering directions near the principal plane, where the LSRT model significantly underestimates reflectance. On the other hand, the best fit LSRT model approximates the reflectance at forward scattering angles much better: the maximal error of the LSRT model is 0.12-0.18, whereas the MRPV model error reaches 0.28-0.45 in the glint direction.

Figure 6 shows the error of atmospheric correction at wavelengths 0.47, 0.67, 0.87 and 1.22  $\mu$ m when a Lambertian surface model is assumed. As is well known, the Lambertian assumption reduces the anisotropy of the BRF. It overestimates BRF at low view zenith angles, where BRF is lowest, and significantly underestimates it where the BRF is high, in the glint and hotspot regions. The error decreases with increasing wavelength. For example, the average reflectance overestimation at low  $\theta$  is 0.02-0.025, 0.01-0.015, 0.008-0.012, and 0.006-0.008 at 0.47, 0.67, 0.87, and 1.22  $\mu$ m, respectively. The maximum error at  $\theta$  = 60° in the forward scattering direction at the same wavelengths is -0.18, -0.10, -0.064 and -0.026, respectively. In all the ARCTAS snow experiments, the atmosphere was relatively clear (see Table 2). The error will be higher under hazy conditions, due to increased atmospheric scattering.

## 7 Analysis of AART model

The Analytical Approximate Radiative Transfer model (see Appendix A) predicts snow bidirectional reflectance as a function of snow grain size and level of absorbing impurities, such as soot. The analytical form of this model makes it very convenient for snow grain size retrievals, and several approaches have recently been developed (Tedesco and Kokhanovsky, 2007; Lyapustin et al., 2009; Kokhanovsky and Schreier, 2009). The AART model was tested against snow pit measurements (Kokhanovsky et al., 2005). Contrary to our results, the AART model was found to overestimate measured BRF in the glint region under specific conditions of measurements. Nevertheless, this work, and a broad-scale analysis of MODIS data over Greenland (Lyapustin et al., 2009), showed that the model is not valid in forward scattering directions near the principal plane (at  $\phi < 40^{\circ}$ ). Kokhanovsky et al. (2005) found that it may produce large errors at angles

- 416 close to the backscattering direction as well. These errors, discussed in the introduction, are in-
- herited from the plane-parallel radiative transfer solution (function  $R_0$  in equation A-3 of Appen-
- 418 dix A).
- In this section, we study the effect of macroscopic surface roughness on snow bidirectional re-
- 420 flectance. Roughness affects snow reflectance in two ways, via the variety of slopes, which re-
- 421 quires averaging the plane-parallel solution over a slope distribution function, and via shadows.
- In this work, we use a Gaussian slope distribution function with averaging procedure described
- in Appendix B. The model of snow shadows described in Appendix C may be considered as
- oversimplified, and yet it allowed us to achieve a relatively good match with BRF derived from
- 425 the CAR measurements.
- 426 7.1 Averaging over slope distribution function
- Figures 7 (a-f) show the effect of averaging over a slope distribution function for several values
- of standard deviation  $\sigma = 0$ , 0.2, 0.4. Figures 7(a-c) show results for a model using spheroids
- 429 (Dubovik et al., 2006) to compute the snow scattering function. The reflectance of a semi-infinite
- 430 medium is computed with the SHARM code (Lyapustin, 2005) for zero absorption and an optical
- thickness of 20000, which provides an asymptotic reflectance limit. The results are shown for a
- grain size of 50 µm. Because there is no absorption, we did not find any noticeable difference in
- the radiative transfer solution when the grain size varied from 20 to 200 µm. Figure 7a shows the
- deviation of the plane–parallel solution ( $\sigma = 0$ ) from the experimental BRF computed as  $R^{\text{meas}}$  –
- 435 R<sup>model</sup>. It overestimates the CAR BRF by 1.21 in the glint region maximum, and underestimates
- 436 it by 0.31 in the backscattering direction. Averaging over slopes decreases the forward peak of
- 437 the theoretical reflectance and increases its backscattering value, thereby reducing the differences
- with experimental data. At  $\sigma = 0.4$ , the difference in the forward and backscattering peaks is re-
- duced by a factor of 3 (-0.42) and 2 (0.166), respectively, at  $\theta = 76^{\circ}$ .
- Figures 7(d, e) show comparisons for an averaged BRF ( $\sigma = 0.4$ ) computed with a Mie model
- 441 (50 µm spheres) and a model of randomly oriented fractal crystals (Mishchenko et al., 1999). In
- addition to errors in the rainbow angles, which are usually not observed over snow, at least for
- visible wavelengths, the Mie model fits the CAR BRF poorly in the forward scattering region,
- where the error remains inhomogeneous and large over a wide range of azimuths and view zenith

angles. The fractal model has an interesting pattern around the forward scattering peak, underestimating BRF at its center and overestimating it at angles 30-60° off center. For the experiment geometry ( $\theta_0 = 68.6^{\circ}$ ), this suggests that the peak of the fractal phase function is too narrow and the phase function is underestimated at scattering angles below ~50°, while at the same time, it is overestimated at scattering angles ~60-90°. Comparing phase functions for the spheroid and random fractal models, Figure 8 shows that this is indeed the case. Except for the extremely narrow diffraction peak, the fractal phase function is significantly lower at angles below 28°, and it is higher than the spheroidal model at angles above ~39°. Overall, the fractal model gives a much better fit in the backscatter region, where the error is a factor of ~2 lower than that of the spheroid model. Given these considerations, we constructed a synthetic phase function from the 50 μm spheroid and fractal models as follows:  $x^{Synth}(\gamma) = x^{SPD}(\gamma)$  at scattering angles  $\gamma < 37^{\circ}$ ;  $x^{\text{Synth}}(\gamma) = (x^{\text{SPD}}(\gamma) + x^{\text{Fract}}(\gamma))/2$  at  $37^{\circ} \le \gamma \le 60^{\circ}$ ;  $x^{\text{Synth}}(\gamma) = x^{\text{Fract}}(\gamma)$  at  $\gamma \ge 90^{\circ}$ ; and finally, in the range  $60^{\circ} < \gamma < 90^{\circ}$ ,  $x^{\text{Synth}}(\gamma)$  was obtained by linear interpolation between the  $x^{\text{Synth}}(60^{\circ})$  and  $x^{\text{Synth}}(90^{\circ})$  values. For normalization, the resulting scattering function was reduced by a factor of 1.103. Figure 7f shows this result for the synthetic BRF with slope averaging ( $\sigma = 0.4$ ). One can see the reduction in the total difference with the CAR BRF down to (-0.1; 0.12) for the full range of  $\theta < 76^{\circ}$ , which is an improvement over all other cases. It is possible that specialized particle shapes modeling ice crystals (e.g., Xie et al., 2006; Jin et al., 2008) may provide an even better agreement with experimental data.

#### 7.2 Effect of shadows

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In contrast to the slope averaging, shadows are not visible in the backscatter direction, where they don't change the absolute value of reflectance. In our case, this represents the angles  $\theta \ge \theta_0$  at  $\varphi = 180^\circ$ . On the other hand, shadows act to increase the relative value of the backscattering peak by decreasing reflectance at other angles. Figures 7(g-l) shows the effect of shadows on the BRF for two values of increased sastrugi density (D = 0.04, 0.08). The images (g-i) are computed for the spheroid model at  $\sigma = 0.4$ , and the images (j-l) show results for the synthetic model at  $\sigma = 0.3$ . Figures 7 (i & l) reproduce Figures 7(h & k) at an expanded scale to show the fine details. Here, the black colors show values above the upper limit and below the lower limit of the color bar. One can see that the best approximation of the CAR BRF for flight 2001b is obtained with the Synthetic model, providing accuracies within -0.02 to +0.07 at  $\theta < 60^\circ$ . Finally, Figure 7(m)

shows the theoretical model fit for experiment 2005a, which had a different solar zenith angle (61.95°). In this case, a good approximation, within  $\pm 0.06$  at  $\theta \le 60^\circ$ , was achieved with the Synthetic model and parameters  $\sigma = 0.2$ , D = 0.10, close to values for the previously considered experiment 2001b.

- 479 7.3 Spectral analysis
- 480 If snow reflectance  $R_0$  is known for the nonabsorbing shortwave channel, then the AART model 481 can predict the spectral dependence of snow BRF analytically (Eq. A-3, Appendix A):

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$$\rho = R_0(\mu_0, \mu, \varphi) \exp(-A(\mu_0, \mu, \varphi) \sqrt{\frac{4\pi\chi d}{\lambda}}). \tag{7}$$

- Assuming the function  $R_0$  is computed with the synthetic BRF model and parameters ( $\sigma = 0.3$ , D
- = 0.08), we analyzed the accuracy of the AART model at 0.681, 0.871, 1.03, 1.22, 1.27, 1.654
- and 2.204 µm wavelengths, for CAR flight 2001b. Based on the theoretical derivation, the
- 486 AART model is valid for  $\sqrt{\frac{4\pi\chi d}{\lambda}} \ll 1$ , limiting it to the visible-NIR spectral range of low ab-
- sorption. So, our analysis at 1.654 and 2.204 µm wavelengths for high snow absorption should
- 488 be considered as formal only. The imaginary part of the refractive index of ice was taken from
- Warren and Brandt (2008).
- 490 Conducting this analysis requires knowledge of parameter d. The snow grain size (diameter) for
- 491 this exercise was first evaluated from CAR BRF data using a method developed for MODIS
- 492 (Lyapustin et al., 2009), and based on the ratio of the NIR ( $\lambda_1 = 1.22 \mu m$ ) to red band ( $\lambda_2 = 0.68$
- 493 μm) reflectances. In this case, the AART model gives an analytical expression for the optical
- 494 snow grain diameter:

$$d = \frac{1}{4\pi A^2} \left[ \ln \left( \frac{\rho_1}{\rho_2} \right) / \left( \sqrt{\frac{\chi_2/\chi_2}{\lambda_2}} - \sqrt{\frac{\chi_1/\chi_1}{\lambda_1}} \right) \right]^2. \tag{8}$$

Compared to the single-band algorithms for snow grain size retrievals (e.g. Nolin and Dozier, 1993; Stamnes et al., 2007; Tedesco and Kokhanovsky, 2007), which depend directly on the function  $R_0$  and on its view-geometry-dependent errors, the band ratio algorithm to some extent suppresses these errors, reducing them to a second-order effect through the function A. We have

done analysis with all of the previously described models (Sect. 7.1-7.2) and found that the syn-thetic model with ( $\sigma = 0.3$ , D = 0.08) provides about the smallest dispersion of retrieved grain size as well. Figure 9 shows the grain size retrieval with this model for experiment 2001b. There is no regular dependence of retrieved grain size at relatively low view zenith angle  $\theta < 35-40^{\circ}$ . At higher view angles ( $\geq 45-50^{\circ}$ ), the retrieved d systematically grows with  $\theta_0$  due to uncompen-sated BRF. Such dependence was observed from MODIS over Greenland (Lyapustin et al., 2009). From the reduced-scale image of Figure 9, the average grain size at  $\theta < 50^{\circ}$  can be as-sessed as  $\sim 0.24 \pm 0.03$  mm. Further, the value d = 0.24 mm was used to study the spectral accuracy of the AART model. The 

results of this analysis are shown in Figure 10. The three numbers above each image give the wavelength and parameters  $\sigma$  and D. In the low absorption region,  $\lambda \le 1.03$  µm, the results are shown for the synthetic model with  $\sigma = 0.3$  and D = 0.08. At medium absorption wavelengths,  $\lambda = 1.22$  and 1.27 µm, a better fit was obtained with parameters  $\sigma = 0.2$  and D = 0.10. At these wavelengths, the photon penetration depth is only a few millimeters. Thus, increasing absorption essentially increases the weight of the small-scale surface roughness (several mm vs several tens of cm) in averaging over the slope distribution function.

The maximal size of the high slope facets in the area of field measurements was only 5-10 cm (see also Figure 1). With the average photon penetration depth of 30 cm in the visible spectrum, the assumption of independently scattering facets may not be entirely valid. Thus, the obtained value  $\sigma = 0.3$  may be considered as a model result providing a good fit to the measurements. On the other hand, conditions for averaging are met in the near infrared, and value  $\sigma \sim 0.2$  may be better related to a surface roughness.

At the high absorption wavelengths ( $\lambda$  = 1.65, 2.2 µm), the plane-parallel solution ( $\sigma$  = 0) with the synthetic model provides the best fit to the CAR BRF. In all wavelengths, the accuracy of the theoretical solution is close to the CAR BRF to within about  $\pm 0.05$  over the full range of MODIS view angles  $\theta \leq 55\text{-}60^\circ$ , including the forward scattering and backscattering directions.

#### 528 **8 Conclusions**

- 529 The spring 2008 ARCTAS experiment was one of major intensive field campaigns of the Inter-
- 530 national Polar Year aimed at detailed characterization of atmospheric and surface properties of
- 531 the Arctic region. In this work, we focused on processing and analysis of CAR-AATS-
- 532 AERONET data from rather unique snow bidirectional reflectance P-3B airborne experiment.
- 533 The goals of this study included obtaining snow bidirectional reflectance at high 1° angular reso-
- lution from CAR measurements and using these data for an accuracy analysis of analytical
- LSRT, MRPV and AART BRF models over snow. Another major goal was developing a model
- of macroscopic surface roughness that would adjust the plane-parallel radiative transfer solution
- 537 to experimental snow BRF. The main results of this work may be summarized as follows:
- 538 1) A method of atmospheric correction for CAR data was developed and thoroughly evaluated.
- The BRF and albedo are produced for 3 flight segments on 7 April and a flight segment on
- 540 15 April. The BRF and albedo are consistent on these two dates, and between different flight
- altitudes on the first date. Except for the red band, the derived CAR albedo is consistent with
- ground albedo measurements. The files containing the CAR measurements and derived
- 543 spectral snow BRF can be found at
- http://car.gsfc.nasa.gov/data/index.php?mis\_id=8&n=ARCTAS&l=h.
- 545 2) The surface albedo derived from CAR data generally agreed well with ground measurements.
- 546 3) The obtained snow BRF is significantly anisotropic, even in the cross-plane. The derived
- BRF pattern from CAR measurements is similar to the measurements of Hudson et al. (2006)
- made over Antarctica from a 32 m tower. The use of Lambertian assumption for atmospheric
- correction may lead to large errors, especially in the shortwave channels.
- 550 4) Except for forward scattering (glint) region of angles  $\varphi < 40^{\circ}$ , the best fit MRPV and LSRT
- models provide a good fit to CAR BRF measurements to within  $\pm 0.05$ . Overall, the LSRT
- model gives a better fit in the forward scattering angles, whereas the MRPV model suits
- snow reflectance better in the backscattering directions.
- 554 5) In agreement with the previous studies, the plane-parallel radiative transfer solution was
- found to have large errors in the broad range of angles near the forward scattering and back-
- scattering directions. Regardless of the shape of snow grains, the plane-parallel model sig-

nificantly overestimates snow BRF in the broad glint region and underestimates it in the backscattering domain. Fitting CAR BRF data shows that the randomly oriented fractal model and the model of spheroids work much better than the Mie solution, and have complementary abilities in fitting the forward- and back-scattering regions. Based on this observation, we introduced a synthetic scattering function that is essentially a model of spheroids in the forward scattering angles, and is a fractal model in the backscattering range. The radiative transfer solution with synthetic phase function was found to fit measured BRF in the full range of angles better than any individual model.

- 6) For the first time, we introduced averaging of the plane-parallel radiative transfer solution over the slope distribution function that accounts for a natural snow surface roughness. Due to large snow grain sizes (compared to the wavelength), the scattering function of snow is rather flat in the backscattering domain and cannot provide the increase of reflectance at backscattering angles required to match observations. In these conditions, introducing rather steep slopes is perhaps the only way to increase snow reflectance at backscattering angles (see also Hudson and Warren, 2007). We found that averaging over slope distribution strongly reduces the difference between theoretical model and observations and allows us to model both the forward and backscattering regions with good accuracy at relatively high zenith angles.
- 575 7) Adding shadows even via a very simple model was found to further reduce the difference between the CAR data and the model.
- A spectral-angular analysis showed that the AART model with the fitted surface roughness
   parameters σ and D provides an accuracy of about ±0.05 with the possible bias of ±0.03 in
   the spectral range 0.4-2.2 μm at θ ≤ 55-60°, including forward- and backscattering domains.
- In future, the developed model of snow reflectance with surface roughness will be used to reduce BRF effect and improve snow grain size retrievals from MODIS with the band ratio technique (Lyapustin et al., 2009).

#### 583 APPENDIX

### 584 A. Analytical BRF Models

585 A Li Sparse – Ross Thick (LSRT) BRF model (Lucht et al., 2000):

$$\rho = k^{L} + k^{G} f_{G}(\mu_{0}, \mu, \varphi) + k^{V} f_{V}(\mu_{0}, \mu, \varphi). \tag{A-1}$$

- Here, subscripts refer to isotropic (L), volumetric (V) and geometric optics (G) components. It
- uses predefined geometric functions (kernels)  $f_G$ ,  $f_V$  to describe different angular shapes. The
- kernels are independent of the land conditions. The BRF of a pixel is characterized by a combi-
- nation of three kernel weights,  $\vec{K} = \{k^L, k^G, k^V\}^T$ . The LSRT model is used in the operational
- MODIS BRF/albedo algorithm (Schaaf et al., 2002).
- A Modified Rahman-Pinty-Verstraete (MRPV) model:

$$\rho = \rho_0 M(k) F(b) H(\rho_0), \tag{A-2}$$

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$$M(k) = [\mu \mu_0 (\mu + \mu_0)]^{k-1}, \ F(b) = \exp(-b \cos \gamma), \ H(\rho_0) = \{1 + \frac{1 - \rho_0}{1 + G}\},$$

- where  $\gamma$  is angle of scattering,  $\cos \gamma = -\mu_0 \mu + \sqrt{1 \mu_0^2} \sqrt{1 \mu^2} \cos(\varphi \varphi_0)$ , and
- 596  $G = \sqrt{tg^2\theta_0 + tg^2\theta + 2tg\theta_0 tg\theta\cos(\varphi \varphi_0)}$ . The MRPV model yields a nearly linear expression
- for the model parameters after logarithmic transformation (Martonchik et al., 1998).
- An Analytical Approximate Radiative Transfer model (AART) (Kokhanovsky and Zege, 2004):

$$\rho = R_0(\mu_0, \mu, \varphi) \exp(-A(\mu_0, \mu, \varphi) \sqrt{\gamma d}). \tag{A-3}$$

- Here,  $\gamma = 4\pi\chi/\lambda$ ,  $\chi$  is imaginary part of refractive index of ice,  $\lambda$  is a wavelength, and
- 601  $d=6\langle V \rangle/\langle S \rangle$  is an effective grain size defined by the ratio of the average volume to the average
- surface area of grains.  $R_0$  is an RTE solution for semi-infinite media (the Milne's problem) with
- zero absorption. For simplicity, we assume that  $R_0$  does not depend on snow grain size because
- $\lambda < d$  (50 µm for fresh snow to 200 µm in pre-melting conditions (Wiscombe and Warren, 1980)
- 605 to 1-1.5 mm during snowmelt). The function A relates to the photon's escape probability from
- the media. For the fractal ice particles, it can be approximated as follows:

$$A(\mu_0, \mu, \varphi) \cong 0.66(1 + 2\mu_0)(1 + 2\mu) / R_0(\mu_0, \mu, \varphi). \tag{A-4}$$

#### B. Integration of Plane-Parallel Solution with a Slope Distribution Function

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Even over a flat ice, the snow surface exhibits height/slope variations due to snow re-distribution 610 by wind and gravity. The reflectance of an individual facet can be modeled with the planeparallel solution if the depth of snow behind the facet is comparable with the photon penetration 612 depth (defined as a depth at which radiative flux is reduced by a factor of e). In the visible range (0.4-0.8 µm), the snowpack attains properties of a semi-infinite layer at depths varying from 614 about 20 cm for a new (fine grained) snow to about 50 cm for an old snow (e.g., Wiscombe and 615 Warren, 1980; Zhou et al., 2003). Snow becomes moderately absorptive in the near infrared 616 (1.24  $\mu$ m) where photon depth penetration is in the range of ~0.55 cm for 100  $\mu$ m grains to ~1.3 cm for 500 µm grains (Li et al. 2001; Lyapustin et al., 2009). In the wavelength region of 1.5-2.2 617 618 um where snow absorption is very high, penetration depth is limited to the top skin layer. As-619 suming a large-scale surface roughness when a plane-parallel solution can be used independently 620 for every facet, and assuming that every element of the surface area is visible (not in the shadow), the total reflectance can be written as follows:

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$$R^{new}(\mu_0, \mu, \varphi) = \frac{1}{\mu_0 N} \int_{0.0}^{2\pi} \int_{0.0}^{1} \mu_{01} R(\mu_{01}, \mu_1, \varphi_1) P(\mu_n, \varphi_n) d\mu_n d\varphi_n . \tag{B-1}$$

- 623 For the lack of better assumption, we will use an azimuthally independent Gaussian probability
- 624 density function of slope distributions (Nakajima and Tanaka, 1983)

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$$P(\mu_n) = \frac{1}{\pi \sigma^2 \mu_n^3} \exp(-\frac{1 - \mu_n^2}{\sigma^2 \mu_n^2}),$$
 (B-2)

- where  $\mu_n$  is cosine of zenith angle of the vector of normal. This model may not be valid in the 626
- world regions as Antarctica with predominant wind direction that creates sastrugi oriented per-627
- 628 pendicular to the blowing wind (Hudson et al., 2006).
- 629 Numerically, equation (B-1) is interpreted as follows. Let us define a reference right-handed co-
- 630 ordinate system (x,y,z). Let the solar plane coincide with x-axes,  $\varphi_0=180^\circ$ . The vectors of inci-
- dence and reflection are defined by the directional cosines (or projections of a unit vector on 631
- each axis): for example, incidence vector is  $\vec{I} = (-\sqrt{1 \mu_0^2}, 0, -\mu_0)$  and view vector is  $\vec{V} = (-\sqrt{1 \mu_0^2}, 0, -\mu_0)$ 632
- $\sqrt{1-\mu^2}\cos\varphi$ ,  $\sqrt{1-\mu^2}\sin\varphi$ ,  $\mu$ ). The minus sign at the x-projection accounts for the fact that the 633
- relative azimuth is defined with respect to the solar azimuth ( $\varphi = \varphi \varphi_0$ ). 634

Next, for every orientation of a facet we define a new coordinate system  $(x_n, y_n, z_n)$  rotated in azimuth  $\varphi_n$  about axis z and then rotated in angle  $\theta_n$  ( $\mu_n = \cos \theta_n$ ) about axis y. The new coordinates of incidence and view vectors are related to the initial values by a rotation transformation, e.g.  $\vec{V}_1 = T_{\theta_n} T_{\varphi_n} \vec{V}$ , where rotation matrices are

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$$T_{\varphi} = \begin{pmatrix} \cos \varphi & \sin \varphi & 0 \\ -\sin \varphi & \cos \varphi & 0 \\ 0 & 0 & 1 \end{pmatrix}, \ T_{\theta} = \begin{pmatrix} \cos \theta & 0 & -\sin \theta \\ 0 & 1 & 0 \\ \sin \theta & 0 & \cos \theta \end{pmatrix}.$$

To reduce computations and avoid numerical uncertainties caused by a definition of inverse cosine and inverse tangent, only new zenith angles ( $\mu_{01}$ ,  $\mu_{1}$ ) are computed using rotation matrices while the new relative azimuth ( $\varphi_{1}$ ) is calculated based on invariance of the scattering angle with respect to the rotation of the coordinate system.

Because zenith view and sun angles cannot be larger than 90° in the rotated coordinate system (for plane-parallel model to hold), not every pair of zenith and azimuthal quadrature angles can be realized in equation A-1. In other words, for all geometries except nadir view and sun in zenith, only part of the upper hemisphere can be both illuminated and visible. This restriction is implemented through a joint constraint  $\mu_{01} \le 0$ ,  $\mu_1 \ge 0$ . For this reason, the integrated solution needs

a normalization coefficient,  $N = \int_{0}^{2\pi} \int_{0}^{1} P(\mu_n, \varphi_n) d\mu_n d\varphi_n$  computed with the same constraint, which

effectively shows the part of the hemisphere that is simultaneously illuminated and visible to the observer. The integration of Eq. B-1 is performed numerically using Gaussian quadrature and a look-up table of function R pre-computed with small step for the full range of azimuths and a range of zenith angles  $\mu_0$ ,  $\mu$ =1÷0.12. The specific reflectance at quadrature points is obtained by 3D interpolation in angles.

#### C. Shadow Factor

The macroscopic surface roughness creates shadows that change bidirectional reflectance of snow. Even though shadowed areas are illuminated by the diffuse sky light, for simplicity we assume that shadow don't contribute into reflected signal. Here, we also ignore 3D effects of horizontal diffusion of photons inside snow from illuminated into the shadowed area. This effect may be important in the visible part of spectrum, but it becomes negligible in the near infrared

because of increased snow absorption. In this case, the measured signal will be reduced proportionally to the relative shadow area in the footprint:  $F^{Sh} = (1 - S^{Sh}/S)$ .

To compute shadow area, let us use a simple model of surface roughness as hemispheric protrusions of radius (height) r equidistantly spaced at a distance R. To simplify our model further, we assume that all of the shadow comes from illuminated half of the hemisphere (as if the sun were at horizon,  $\theta_0 = 90^\circ$ ). In this case, the area of a shadow viewed at nadir is  $S^{\text{Sh}} = \frac{\pi r^2}{2} tg \theta_0$ . When the shadow is not subtended by the protrusion, the shadow area does not depend on the relative azimuth. This is approximately true for the forward scattering directions ( $|\varphi| \le 90^\circ$ ). In the backscattering directions, the shadow area will be reduced as  $S^{\text{Sh}} \sim \frac{\pi r^2}{2} (tg \theta_0 + tg \theta \cos \varphi)$ , where  $tg\theta_0 + tg\theta\cos\varphi \ge 0$ . This formula is exact in the principal plane in the backscattering direction ( $\varphi=180^\circ$ ) and in the cross-plane. Assuming that this expression is approximately valid for the other backscattering angles, we can write the final formula for the shadow factor:

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$$F^{Sh} = 1 - \frac{\pi D^2}{2} H(\theta_0, \theta, \varphi), \text{ where } H(\theta_0, \theta, \varphi) = \begin{cases} tg \theta_0, |\varphi| \le 90^{\circ} \\ tg \theta_0 + tg \theta \cos \varphi, |\varphi| > 90^{\circ} \end{cases}$$
(C-1)

and D=r/R is density of protrusions. Although oversimplified, this shadow model predicts an overall correct angular dependence added by snow shadows. It has an advantage of dependence on a single parameter (density) that makes it rather robust for the remote sensing of snow given all sources of uncertainties and variability in modeling roughness of natural snow. Because of simplifications, such as neglecting diffuse irradiance of the shadowed areas, the best-fit parameter D matching experimentally measured BRF may differ from the real roughness density characterizing field conditions.

The total snow reflectance accounting for slope distribution and shadows becomes:

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$$\rho(\mu_0, \mu, \varphi) = R^{new}(\mu_0, \mu, \varphi) F^{Sh}.$$
 (C-2)

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**Table 1**. Center wavelengths of CAR bands 3-8, 10, 13, in-band solar irradiance used in CAR calibration, solar irradiance based on the Spectral Irradiance Monitor (SIM) instrument on SORCE for 8 April 2008, and absorption optical thickness of atmospheric gases and ozone.

8	5	5

λ, μm	0.472	0.681	0.871	1.03	1.22	1.27	1.654	2.204
$F_{\lambda,\;CAR}$	2058.5	1474.37	952.2	676.2	472.97	429.39	227.94	84.74
$(W/m^2\mu m)$	)							
$F_{\lambda,  SIM}$	2061.47	1476.59	946.01	694.02	483.56	437.98	233.02	86.34
$ au^{ m g}$	1.9e-3	2.77/1.75	2.65/2.34	2.50/2.43	1.50/1.37	4.59/3.25	1.41/1.27	2.55/2.3
		e-2	e-4	e-4	e-2	e-2	e-2	5e-2
$ au^{\mathrm{O3}}$	4.43e-3	0.0132	6.03e-4	2.53e-5	0	0	0	0

**Table 2**. Summary of CAR P-3B snow BRF experiments over Barrow. The last four columns give the average flight altitude, solar zenith angle and aerosol optical thickness of atmospheric column (AERONET) and of the layer above airplane (AATS) in the blue band.

Experime	ent Date	$H^{av}$ (km)	$\theta_0$	${ au}_{0.44}^{AER}$	${ au}_{0.44}^{AATS}$
Number	r				
2001a	7 April 2008	1.693	67.1	0.156	0.067
2001b	7 April 2008	0.636	68.6	0.148	0.088
2001c	7 April 2008	0.198	70.2	0.14	0.117
2005a	15 April 2008	0.185	62.0	0.183	0.153



**Figure 1.** Spectral albedo measurements on Elson Lagoon on April 15, 2008, with the P-3B airplane in the background. The leveling cosine collector is rotated to collect light from upward and downward hemispheres sequentially. The light is carried through a fiber-optic guide to the ASD spectral radiometer and its computer, which are mounted on the sled.

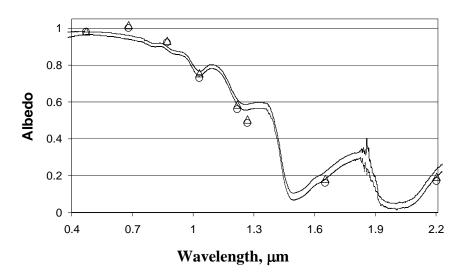
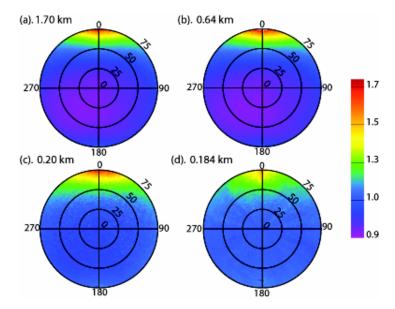
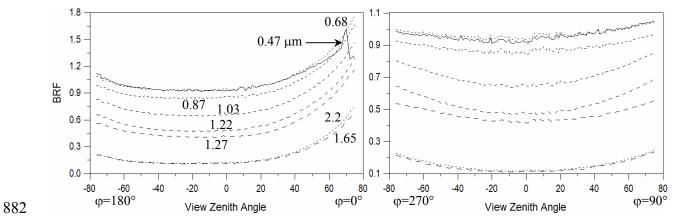


Figure 2. Comparison of the envelope of 15 April ground-measured albedos covering five sites (lines) with CAR albedos obtained on 7 April (triangles) and 15 April (circles) at the Elson Lagoon site.

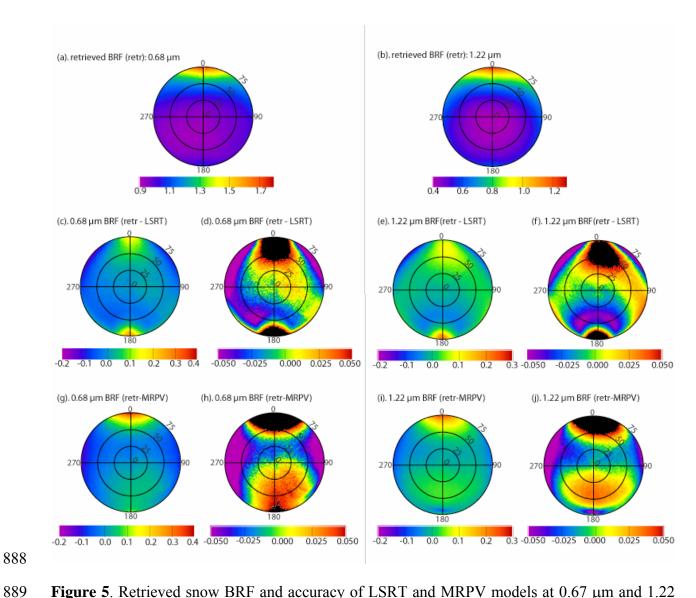




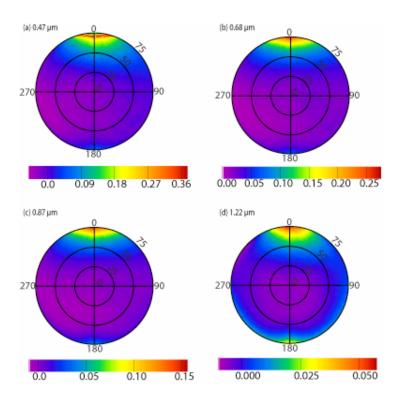
**Figure 3**. Snow BRF in CAR red band derived for the 7 April 2008 measurements at three different flight altitudes, and on 15 April at one elevation (d).



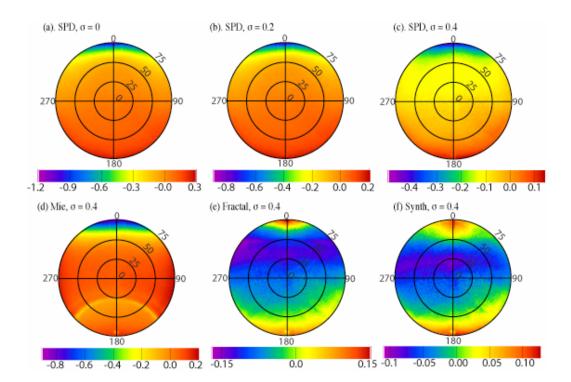
**Figure 4**. Spectral snow BRF in eight CAR bands in the principle plane (left) and in the crossplane (right) from flight 2001b (April 7, 2008, altitude 0.64 km).



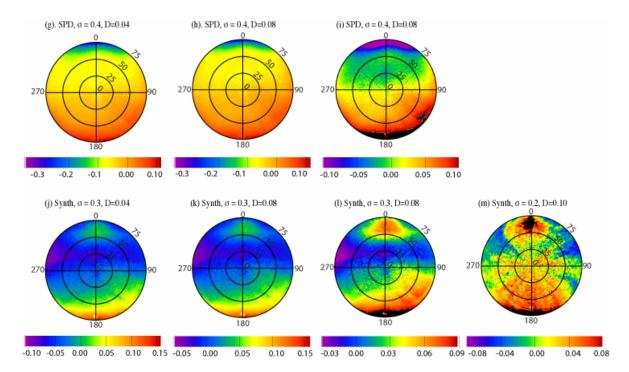
**Figure 5**. Retrieved snow BRF and accuracy of LSRT and MRPV models at 0.67  $\mu$ m and 1.22  $\mu$ m wavelength, for April 7 flight over Elson Lagoon. The accuracy, computed as ( $\rho$ - $\rho$ <sub>Model</sub>), is shown for the full range and for a reduced range ( $\pm$ 0.05) of values.



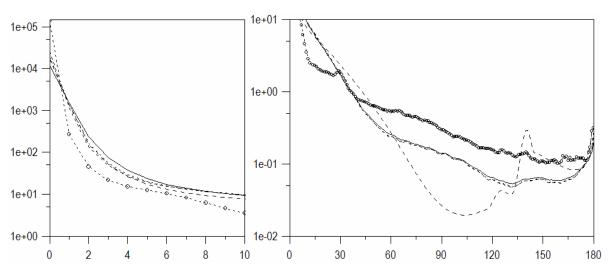
**Figure 6**. Error of atmospheric correction over snow using the Lambertian approximation ( $\rho$ - $\rho_{Lamb}$ ) for surface BRF, in visible and NIR wavelengths.



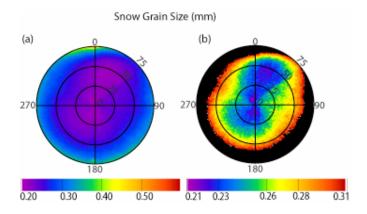
**Figure 7 (a-f)**. Difference between derived CAR BRF in the red band and modeled BRF ( $R^{\text{New}}$ ) for different slope distribution functions. All images show differences with CAR red band BRF for April 7 flight over Elson Lagoon.



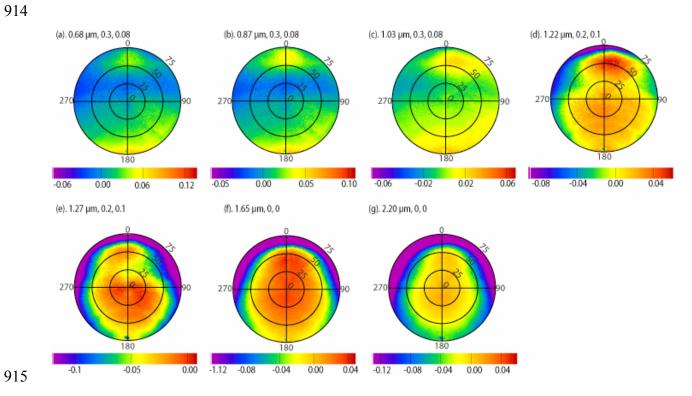
**Figure 7(g-m)**. Difference between derived CAR BRF in the red band and modeled BRF ( $R^{\text{New}}$ ) for different slope distributions and sastrugi densities. The images (g-l) show results for flight 2001b (April 7), and the last image (m) shows result for flight 2005a (April 15).



**Figure 8**. Scattering functions for Mie (50  $\mu$ m) model (dashed line), fractal model (circles), and 20  $\mu$ m (solid line), 50 and 200  $\mu$ m (dotted lines) spheroid models. Scattering functions for the spheroid family are close to each other in the right plot.



**Figure 9**. Retrieved snow grain diameter from CAR BRF data for experiment 2001b. The right image shows the same data at reduced scale.



**Figure 10**. Difference between derived CAR BRF and modeled BRF (RNew) in seven CAR bands for flight 2001b. Three numbers above each image give the wavelength and parameters  $\sigma$  and D.